# Evaluation

Experimental protocols, datasets, metrics

**Information Retrieval** 

## Topic feeds



## Search

Google	conversational search X 🌷 Q			
	Q All Images I News I Videos I Maps I More Settings Tools			
	About 32,700,000 results (0.58 seconds)			
	Conversational search is a new kind of philosophy for human/computer interaction. The principle behind conversational search is that a user can speak a sentence into a device, and that device can respond with a full sentence. Mar 14, 2017 www.techopedia.com > definition > conversational-search What is Conversational Search? - Definition from Techopedia			
	About Featured Snippets Feedback			
	zoovu.com > conversational-search 💌			
	What is Conversational Search & How Does it Work?   Zoovu			
	Conversational search is the ultimate way to convert searchers into buyers by leveraging AI to			
	optimize every step of the buyer's journey. Using AI to understand and predict what the customer needs to increase conversion and customer satisfaction.			
searchengineland.com→googles-impressive-conversati ▼ Google's Impressive "Conversational Search" Goes Live On				
	the service, plus it sets things up to entice searchers to share more			
	blog.algolia.com > conversational-search 💌			
What is conversational search?   Algolia Blog				
	Dec 1 2019 - It allows users to submit queries, typically through voice, and receive answers in			

#### Answer generation



## Machine translation

Eddie Van Halen se calhar não sabia que estava a mudar as regras do hard rock com Eruption, solo de guitarra que em menos de dois minutos deu ao instrumento toda uma nova linguagem.	×	Eddie Van Halen probably didn't know he was changing the rules of hard rock with Eruption, guitar solo that in less than two minutes gave the instrument a whole new language.
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How to benchmark the correctness of natural language processing and information retrieval algorithms?

#### The R\* Nautilus

with thanks to Nicola Ferro for the visualisation

Reproduce Different data, set up Same task/goal Same/different materials Same/different methods Different group/lab

Replicate Same data, set up Same task/goal Same materials Same methods Different group/lab



Repeat Same data, set up Same task/goal Same materials Same methods Same group/lab Transferred Repurposed Trusted Productivity

> Reuse / Generalise Different data, set up Different task/goal Same/different materials Same/different methods Different group/lab

# Essential aspects of a sound evaluation

- Experimental protocol
  - Is the <u>task/problem</u> clear? Is it a <u>standard task</u>?
  - Detailed <u>description of the experimental setup</u>:
    - identify all steps of the experiments.
- Reference dataset
  - Use a <u>well known dataset</u> if possible.
    - If not, how was the data obtained?
  - Clear separation between training and test set.
- Evaluation metrics
  - Prefer the <u>commonly used metrics</u> by the community.
  - Check which statistical test is most adequate.

## Experimental setups

- There are experimental setups made available by different organizations:
  - TREC: <u>http://trec.nist.gov/tracks.html</u>
  - CLEF: <u>http://clef2017.clef-initiative.eu/</u>
  - SemEVAL: <a href="http://alt.qcri.org/semeval2017/">http://alt.qcri.org/semeval2017/</a>
  - Visual recognition: <u>http://image-net.org/challenges/LSVRC/</u>
- These experimental setups define a protocol, a dataset (documents and relevance judgments) and suggest a set of metrics to evaluate performance.

## What is a standard task?

- Experimental setups are designed to develop a *language* processing algorithm to address a specific task.
  - Topic detection
  - Search by example
  - Ranking annotations
  - Real-time summarization
  - Conversational search
- Datasets exist for all the above tasks.



Sunset Horizon Coulds Orange Desert

## Examples of standard tasks

- For example, current "hot" tasks:
  - Conversational recommendation
  - Conversational search: <u>http://www.treccast.ai/</u>
  - Medical Visual QA: <a href="https://www.imageclef.org/2019/medical/vqa">https://www.imageclef.org/2019/medical/vqa</a>
  - Health misinformation: <a href="https://trec-health-misinfo.github.io/">https://trec-health-misinfo.github.io/</a>
  - ...
- Several forums exist with different tasks:
  - TREC: Blog search, opinion leader, patent search, Web search, document categorization...
  - CLEF: Plagiarism detection, expert search, wikipedia mining, multimodal image tagging, medical image search...
  - Others: Japanese, Russian, Spanish, etc...

## A classification evaluation protocol



## A retrieval evaluation protocol



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## Reference datasets

- A reference dataset is made of:
  - a collection of documents
  - a set of training queries
  - a set of test queries
  - the relevance judgments of the pairs query-document.
- Reference datasets are as <u>important as metrics</u> for evaluating the proposed method.
  - Many different datasets exist for standard tasks.
  - Reference datasets set the difficulty level of the task.
  - Allow a fair comparison across different methods.

## Ground-truth

- Ground-truth tells the scientist how the method must behave.
- The ultimate goal is to devise a method that produces exactly the same output as the ground-truth.



## Annotate these pictures with keywords:









## Groundtruth



People Nepal Mother Baby Colorful dress Fence



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Flowers Yellow Nature



Beach Sea Palm tree White-sand Clear sky

Groundtruth can be incomplete, not all groundtruth is of equal importance/relevance.

## Relevance judgments -> Groundtruth

- Judgments can be obtained by experts or by crowdsourcing
  - Human relevance judgments can be incorrect and inconsistent
- How do we measure the quality of human judgments?

$$kappa = \frac{p(A) - p(E)}{1 - p(E)}$$

 $p(A) \rightarrow$  proportion of times humans agreed

 $p(E) \rightarrow$  probability of agreeing by chance

- Values above 0.8 are considered good
- Values between 0.67 and 0.8 are considered fair
- Values below 0.67 are considered dubious

# Example of relevance judgments

- Category of a document/image/video
- Query-document pair
- Reference translations

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## **Evaluation metrics**

- Complete relevance judgments
  - Ranked relevance judgments
  - Binary relevance judgments
- Incomplete relevance judgments (Web scale eval.)
  - Binary relevance judgments
  - Multi-level relevance judgments

## Binary relevance judgments

$$Accuracy = \frac{truePos + trueNeg}{truePos + falsePos + trueNeg + falseNeg}$$

$$Precision = \frac{truePos}{truePos + falsePos}$$

$$Recall = \frac{truePos}{truePos + falseNeg}$$

$$F_1 = \frac{2}{\frac{1}{p} + \frac{1}{R}}$$

Em PT: exatidão, precisão e abragência.

**Ground-truth** 

False

False positive

True negative

True

True positive

False negative

## Why not accuracy?

#### You easily get 99.99999% by not retrieving non-relevant results!!!

 $Accuracy = \frac{truePos + trueNeg}{truePos + falsePos + trueNeg + falseNeg}$ 

## Precision-recall graphs for ranked results



#### Interpolated precision-recall graphs



#### Average Precision

- Web systems favor high-precision methods (P@20)
- Other more robust metric is AP:

 $AP = \frac{1}{\#relevant} \cdot \sum_{k \in \{set \ of \ positions \ of \ the \ relevant \ docs\}} p@k$ 

$$AP = \frac{1}{4} \cdot \left(\frac{1}{2} + \frac{2}{4} + \frac{3}{6}\right) = 0.375$$

#### Average Precision

• Average precision is the area under the P-R curve



## Mean Average Precision (MAP)

- MAP evaluates the system for a given range of queries.
- It summarizes the global system performance in one single value.
- It is the mean of the average precision of a set of n queries:



$$MAP = \frac{AP(q_1) + AP(q_2) + AP(q_3) + \dots + AP(q_n)}{n}$$

## Web scale evaluation

- It is impossible to know all relevant documents.
  - It is too expensive or time-consuming.
- <u>nDCG</u>, <u>BPref</u> and <u>Inferred AP</u> are three measures to evaluate a system with incomplete ground-truth.
- These metrics use the concept of **pooled results**

# Results pooling

- This technique is used when the dataset is too large to be completely examined.
- Considering the results of 10 systems:
  - Examine the top 100 results of each system
  - Label all documents according to its relevance
  - Use the labeled results as ground-truth to evaluate all systems.
- <u>Drawback: can't compute recall, AP and MAP</u>

#### Relevance

- Some documents are more relevant than others.
  - Documents have different levels of relevance.
- The position of a document in the rank is also important to the user.
  - Relevant documents ranked top count more.



## DCG: Incomplete multi-level relevance

• The Discounted Cumulative Gain measure, considers the notion of multi-level relevance:

 $DCG_m \propto 2^{rel_i} - 1$   $rel_i = \{0, 1, 2, 3, ...\}$ 

• The DCG measure, also considers the position where the document is on the rank:

$$DCG_m = \sum_{i=1}^m \frac{2^{rel_i} - 1}{\log_2(1+i)} \qquad rel_i = \{0, 1, 2, 3, \dots\}$$

• The normalized metric measures the deviation from the optimal sort order:

 $nDCG_m = \frac{DCG_m}{bestDCG}$ 

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## Efficiency metrics

Metric name	Description	
Elapsed indexing time	Measures the amount of time necessary to build a document index on a particular system.	
Indexing processor time	Measures the CPU seconds used in building a document index. This is similar to elapsed time, but does not count time waiting for I/O or speed gains from parallelism.	
Query throughput	Number of queries processed per second.	
Query latency	The amount of time a user must wait after issuing a query before receiving a response, measured in milliseconds. This can be measured using the mean, but is often more instructive when used with the median or a percentile bound.	
Indexing temporary space	Amount of temporary disk space used while creating an index.	
Index size	Amount of storage necessary to store the index files.	

## Summary

- Metrics for complete relevance judgments
  - Binary: Precision, Recall, F-measure, Average Precision, Mean AP
  - <u>Ranked</u>: Spearman, Kendal-tau
- Metrics for incomplete relevance judgments
  - Binary: Bpref, InfMAP
  - <u>Multi-valued</u>: Normalized DCG
- Evaluation collections / resources
  - See TRECVID and ImageCLEF for multimedia datasets.
  - See TREC and CLEF forums for Web and large-scale datasets
    - User search interaction, Geographic IR, Expert finding, Blog search, Plagiarism,...
  - Use trec\_eval application to evaluate your system

